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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LENDLEIN, *et al.* Examiner: To be assigned

Serial No.: To be assigned Group Art Unit: To be assigned

Filed: Herewith

For: Method of Achieving a Shape-Memory Effect on Hair

Customer No.: 23719 Kalow & Springut LLP
488 Madison Avenue, 19th Floor
New York, New York 10022

October 8, 2004

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith the following disclosure in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

I. FOREIGN PATENT DOCUMENTS

<u>Publication No.</u>	<u>Country</u>	<u>Publication Date</u>
EP 0 422 693 A	EP	17 April 1991
US 6 156 842 A	US	5 December 2000
WO 99 42528 A	WIPO	26 August 1999
WO 99 42147 A	WIPO	26 August 1999
WO 03/084489 A1	WIPO	16 October 2003
WO 03/084491 A1	WIPO	16 October 2003

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I hereby declare that on the date indicated below, this correspondence is being deposited with the United States Postal Service via Express Mail Label No. EV 279583076 US in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on the date shown below.


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Tor Smejland October 8, 2004
(Printed Name of Person Signing Certificate) (Date)

Applicant: LENDLEIN, *et al.*
Serial No.: To be assigned
Filed: Herewith
Information Disclosure Statement
October 8, 2004
Page 2 of 3

II. NON PATENT PUBLICATIONS

International Search Report for PCT/EP03/03734.

A. Lendlein *et al.*, "AB-Polymer Networks Based On Oligo(epsilon-Caprolactone) Segments Showing Shape-memory Properties," *Proc. Natl. Acad. Sci. USA*, vol. 98, no. 3, January 2001 (2001-01), Pages 842-847, XP002251532.

A. Lendlein *et al.*, "Formgedächtnispolymer," *Angewandte Chemie*, vol. 114, no. 12, 17 June 2002 (2002-06-17), pages 2138-2162, XP002251533.

Database WPI, Section Ch, Week 199214, Derwent Publications Lt., London, GB; Class A12, AN 1992-109202 XP002251534 & JP 04 050234 A (Asahi Chem Ind Co Ltd), 19 February 1992 (1992-02-19).

Patent Abstracts of Japan, vol. 016, no. 213 (C-0942, 20 May 1992 (1992-05-20) & JP 04 0451416 A (Noevir Co Ltd; Others: 01), 12 February 1992 (1992-02-12).

The items listed above are identified on the accompanying Form PTO/SB/08. A copy of each of the items identified above is also submitted with this statement.

Applicants take no position on whether any of the items cited above and listed on the accompanying Form PTO/SB/08 constitute prior art against the subject application under any particular provision of Title 35 of the United States Code.

10/511019

Applicant: LENDLEIN, *et al.*
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Information Disclosure Statement
October 8, 2004
Page 3 of 3

DI63 08 OCT 2004 PCT/PTO

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,


Tor Smealand
Registration No.: 43,131
Attorney for Applicant

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Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	LENDLEIN, Andreas
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	13633PCTUS

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 422 693 A	04/17/91	Thoratec Lab Corp		
		WO 99 42528 A	12/05/00	Mnemoscience GMBH		
		WO 99 42147 A	08/26/99	MIT		
		WO 03/084489 A1	10/16/03	Mnemoscience GMBH		
		WO 03/084491 A1	10/16/03	Mnemoscience GMBH		

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Translation is attached.
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Application Number	To be assigned
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First Named Inventor	LENDLEIN, Andreas
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	13633PCTUS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		LENDLEIN, A. et al. "A-B Polymer Networks Based on Oligo(epsilon-caprolactone) Segments Showing Shape-memory Properties," Proc. Natl. Acad. Sci. USA, vol. 98, No. 3, January 2001 (2001-01), Pages 842-847.	
		LENDLEIN, A. et al. "Formgedachtnispolymer," Angewandte Chemi, vol. 114, No. 12, 17 June 2002, pages 2138-2162.	
		Database WPI, Section Ch. Week 199214, Derwent Publications Ltd., London, GB; Class A12, AN 1992-109202 XP002251534 & JP 04 050234 A (Ashahi Chem Ind Co Ltd), 19 February 1992 (1992-02-19)	
		Patent Abstracts of Japan vol. 016, No. 213 (C-0942), 20 May 1992 (1992-05-20) & JP 04 041416 A (Noevir Co Ltd; others: 01), 12 February 1992 (1991-02-12)	
		International Search Report for PCT/EP03/037364	

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